

## **EXHIBIT B**

### **Active and Dismissed Claims in Adversary Proceedings with Orders Submitted on Consent**

Case	Defendant	Claims Dismissed Under Memorandum Decision	Active Claims
<b>Picard v. Ceretti</b>  <b>No. 09-01161</b>	Kingate Global Fund, Ltd.		Preferences (count 1) Initial Transfers (counts 2 – 8) <sup>1</sup> Equitable Subordination of Claims (count 11)
	Kingate Euro Fund, Ltd.		Preferences (count 1) Initial Transfers (counts 2 – 8) Equitable Subordination of Claims (count 11)
	First Peninsula Trustees Limited, Individually and as Trustees of the Ashby Trust Ashby Investment Services Limited Alpine Trustees Limited, Individually and as Trustees of the El Praela Trust Port of Hercules Limited, Individually and as Trustee of El Praela Trust El Praela Group Holding Services Ashby Holdings Services Limited El Praela Trading Investments Limited FIM Limited FIM Advisors LLP Federico Ceretti Carlo Grosso The Ashby Trust Kingate Management Limited Citi Hedge Fund Services Limited HSBC Bank Bermuda Limited	Subsequent Transfers (count 9)	

<sup>1</sup> Claims for “initial transfers” include claims for avoidance and recovery of transfers pursuant to applicable Federal Bankruptcy law, and New York state law.

Case	Defendant	Claims Dismissed Under Memorandum Decision	Active Claims
<b>Picard v. HSBC Bank, plc</b> <b>No. 09-01364</b>	Alpha Prime Fund Limited Thema Wise Investments Ltd. Thema International Fund plc Geo Currencies Ltd. S.A.		Initial transfers (counts 1, 3–9) Disallowance of customer claims (count 11) Equitable subordination of customer claims (count 12)
	20:20 Medici AG Herald Asset Management Limited Eurovaleur, Inc. Alpha Prime Asset Management Ltd. Regulus Asset Management Limited Carruba Asset Management Limited Tereo Trust Company Limited Inter Asset Management, Inc. Sonja Kohn Erwin Kohn Ursula Radel-Leszczyński		Subsequent transfers of preferences (count 2)  Subsequent transfers of fraudulent transfers (count 10)
	HSBC Holdings plc HSBC Institutional Trust Services (Bermuda) Limited HSBC Institutional Trust Services (Ireland) Limited HSBC Private Banking Holdings (Suisse) S.A. HSBC Private Bank (Suisse) S.A. HSBC Securities Services (Bermuda) Limited HSBC Securities Services (Ireland) Limited HSBC Securities Services (Luxembourg) S.A. HSBC Bank (Cayman) Limited HSBC Bank Bermuda Limited HSBC Fund Services (Luxembourg) S.A. BA Worldwide Fund Management Limited Hermes Asset Management Limited Thema Asset Management (BVI) Ltd. Thema Asset Management (Bermuda) Ltd. Equus Asset Management Ltd.	Subsequent transfers of preferences (counts 2, 13)  Subsequent transfers of fraudulent transfers (counts 10, 14–19)	

Case	Defendant	Claims Dismissed Under Memorandum Decision	Active Claims
	Thema Fund Ltd. Lagoon Investment Trust Hermes International Fund Limited	Subsequent transfers (count 10 as to ThemaFund Ltd; counts 2 and 10 as to Lagoon Investment Trust and Hermes International Fund Limited)	Initial transfers (counts 1, 3–9)
	Lagoon Investment Limited	Subsequent transfers of preferences (count 2)	Initial transfers (counts 1, 3–9) Disallowance of customer claims (count 11) Equitable subordination of customer claims (count 12)
	HSBC Bank plc	Subsequent transfers received from entities other than the Rye Funds	Subsequent transfers received from the Rye Funds
	HSBC Bank USA, N.A.	Subsequent transfers from Fairfield Sentry Limited and Harley International (Cayman) Limited (counts 2, 10, 13–19)	Subsequent transfers received from entities other than Fairfield Sentry Limited and Harley International (Cayman) Limited (counts 2, 10, 13–19)
<b>Picard v. Oréades SICAV</b>  <b>No. 10-5120</b>	Oréades SICAV, represented by its liquidator, Inter Investissements S.A.		Initial transfers (counts 1–4)
	Inter Investissements S.A. (f/k/a Inter Conseil S.A.)	Subsequent transfers (count 5)	
<b>Picard v. UBS AG</b>  <b>No. 10-05311</b>	Luxembourg Investment Fund <sup>2</sup> Luxembourg Investment Fund U.S. Equity Plus <sup>3</sup>		Preferences (count 1) Initial transfers (counts 5–10)
	Landmark Investment Fund Ireland		Preferences (count 3) Initial transfers (counts 12–17)

<sup>2</sup> Including Maître Alain Rukavina and Paul Laplume in their capacity as liquidators and representatives of Luxembourg Investment Fund.

<sup>3</sup> Including Maître Alain Rukavina and Paul Laplume in their capacity as liquidators and representatives of Luxembourg Investment Fund U.S. Equity Plus.

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	UBS AG UBS (Luxembourg) S.A. UBS Fund Services (Luxembourg) S.A. UBS Third Party Management Company S.A. M&B Capital Advisers Sociedad de Valores, S.A. Reliance Management (Gibraltar) Limited	Subsequent transfers of preferences (count 2)  Subsequent transfers (count 11)	
<b>Picard v. UBS AG</b>  <b>No. 10-04285</b>	Groupement Financier Ltd		Initial transfers (counts 1, 3–8)
	Luxalpha SICAV		Initial transfers (counts 1, 3–8) Disallowance & equitable subordination of customer claim (counts 10, 11)
	Access International Advisors LLC Theodore Dumbauld Claudine Magon de la Villehuchet		Subsequent transfers of preferences and fraudulent transfers (counts 2, 9)
	Pierre Delandmeter Patrick Littaye Access International Advisors Ltd. Access Management Luxembourg S.A. (f/k/a Access International Advisors (Luxembourg) S.A.) as represented by its Liquidator Maître Fernand Entringer Access Partners S.A. as represented by its liquidator Maître Fernand Entringer UBS AG UBS Fund Services (Luxembourg) S.A. UBS Third Party Management Company S.A.	Subsequent transfers of preferences and fraudulent transfers (counts 2, 9)	
	UBS (Luxembourg) S.A.	Subsequent transfers (counts 2, 9)	Disallowance of customer claim (count 10) Equitable subordination of customer claim (count 11)
<b>Picard v. Cardinal Management Inc.</b>  <b>No. 10-04287</b>	Dakota Global	Subsequent transfers (counts 2, 9)	
	Cardinal Management Inc.		Initial transfers
<b>Picard v. Natixis S.A.</b>  <b>No. 10-05353</b>	Natixis S.A (individually and as successor-in-interest to IXIS Corporate & Investment Bank) Tensyr Limited	Subsequent transfers (counts 1–7)	None
	Natixis Financial Products LLC (as successor-in-interest to Natixis Financial Products, Inc.)	Subsequent transfers from Harley (counts 1–7)	Subsequent transfers from Alpha Prime Fund and Groupement Financier Ltd. (counts 1–7)

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	Bloom Asset Holdings Fund	Subsequent transfers (counts 1–7)	None
<b>Picard v. ABN AMRO Bank (Ireland) Ltd.</b>	ABN AMRO Bank (Ireland) Ltd. (f/k/a/ Fortis Prime Fund Solutions Bank (Ireland) Ltd.) (n/k/a ABN AMRO Retained Custodial Services (Ireland) Limited)	Subsequent transfers received from Kingate Global Fund, Ltd. (count 4)	Subsequent transfers from Rye Select Broad Market Fund L.P. (count 1) Subsequent transfers from Rye Select Broad Market XL Fund L.P. (count 3)
<b>No. 10-5355</b>	ABN AMRO Custodial Services (Ireland) Ltd (f/k/a Fortis Prime Fund Solutions Custodial Services (Ireland) Ltd.)	Subsequent transfers received from Kingate Global Fund, Ltd. (count 4)	Subsequent transfers from Rye Select Broad Market Fund L.P. (count 1)
<b>Picard v. Zephyros Limited</b>	Zephyros Limited	Subsequent transfers received from Kingate Global Fund, Ltd. (count 1)	Subsequent transfers from Rye Select Broad Market Portfolio Limited (f/k/a American Masters Broad Market Fund II Limited) (count 2)
<b>No. 12-01278</b>			
<b>Picard v. Banque Internationale à Luxembourg S.A.</b>	Banque Internationale à Luxembourg S.A. (f/k/a Dexia Banque Internationale à Luxembourg S.A.), individually and as successor in interest to Dexia Nordic Private Bank S.A. RBC Dexia Investor Services Bank S.A. RBC Dexia Investor Services España S.A. Banque Internationale à Luxembourg (Suisse) S.A. (f/k/a Dexia Private Bank (Switzerland) Ltd.)	Subsequent transfers (counts 1, 2, 3)	
<b>No. 12-01698</b>	RBC-Dexia Investor Services Trust		Subsequent transfers received from Rye Select Broad Market Portfolio Limited (count 4)
<b>Picard v. Royal Bank of Canada</b>	Royal Bank of Canada Trust Company (Jersey) Limited Royal Bank of Canada (Asia) Limited Royal Bank of Canada (Suisse) S.A. RBC Dominion Securities Inc.	Subsequent transfers (counts 1, 2)	
<b>No. 12-1699</b>	Royal Bank of Canada	Subsequent transfers received from Fairfield Sentry Limited and Kingate Global Fund Ltd. (counts 1, 2)	Subsequent transfers received from Rye Select Broad Market Prime Fund L.P. (count 5)
	Guernroy Limited	Subsequent transfers received from Fairfield Sentry Limited & Kingate Global Fund Ltd. (counts 1, 2)	Subsequent transfers from Rye Select Broad Market Portfolio Limited (count 3)

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	Royal Bank of Canada (Channel Islands) Limited	Subsequent transfers (counts 1, 2, 3)	
	RBC Alternative Assets, L.P.		Subsequent transfers from Rye Select Broad Fund L.P. and Rye Select Broad Market Prime Fund L.P. (counts 4, 5)
<b>Picard v. BNP Paribas S.A.</b>  <b>No. 12-1576</b>	BGL BNP Paribas Luxembourg BNP Paribas S.A. BNP Paribas (Suisse) S.A. BNP Paribas Arbitrage SNC BNP Paribas Securities Luxembourg	Subsequent transfers received from Kingate & Fairfield (counts 1, 2, 3)	
	BNP Paribas Bank & Trust Cayman Ltd. BNP Paribas Securities Luxembourg BNP Paribas Arbitrage SNC	Subsequent transfers received from Kingate & Fairfield (counts 1, 2, 3)	Subsequent transfers received from Portfolio Limited Fund subsequent transfers (count 5)
<b>Picard v. Banque Syz &amp; Co., SA</b>  <b>No. 11-02149</b>	Banque Syz & Co., SA	Subsequent transfers (count 1)	Disallowance of customer claim (count 2)
<b>Picard v. Citibank, N.A.</b>  <b>No. 10-05345</b>	Citibank N.A. Citibank North America, Inc.		Subsequent transfers received from Rye Select Broad Market Prime Fund L.P. (counts 1–6)
	Citigroup Global Markets Limited	Subsequent transfers received from Fairfield Sentry Limited (counts 7–13)	